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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	09/718,717
		Filing Date	November 22, 2000
		First Named Inventor	JAKOBOVITS, AYA
		Group Art Unit	1636
		Examiner Name	T. McKelvey
Total Number of Pages in This Submission		Attorney Docket Number	ABGX001CON3
<b>ENCLOSURES (check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	<b>RECEIVED</b> APR 11 2002 TECH CENTER 16002900
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm or Individual Name	PAULA A. BORDEN, Reg. No. 42,344		
Signature			
Date	March 7, 2002		

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 7, 2002.	
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Signature	
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<div style="float: left; width: 40%;"> <p style="font-size: 2em; margin: 0;">FEE TRANSMITTAL</p> <p style="font-size: 1.5em; margin: 0;">for FY 2002</p> <p style="font-size: 0.8em; margin: 0;">Patent fees are subject to annual revision.</p> </div> <div style="float: right; width: 60%; text-align: right;"> <p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>09/718,717</td></tr> <tr><td>Filing Date</td><td>November 22, 2000</td></tr> <tr><td>First Named Inventor</td><td>JAKOBOVITS, AYA</td></tr> <tr><td>Examiner Name</td><td>T. McKelvey</td></tr> <tr><td>Group Art Unit</td><td>1636</td></tr> <tr><td>Attorney Docket No.</td><td>ABGX001CON3</td></tr> </table> </div>				Application Number	09/718,717	Filing Date	November 22, 2000	First Named Inventor	JAKOBOVITS, AYA	Examiner Name	T. McKelvey	Group Art Unit	1636	Attorney Docket No.	ABGX001CON3																																																																																																																																																																											
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<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:</p> <p>Deposit Account Number <b>50-0815</b></p> <p>Deposit Account Name <b>Bozicevic, Field &amp; Francis LLP</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27</p>		<p>3. <b>ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examination action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td>920.00</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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<p><b>SUBMITTED BY</b></p>		<p><b>Complete (if applicable)</b></p>	
Name (Print/Type)	Paula A. Borden	Registration No. (Attorney/Agent)	42,344
Signature		Telephone	(650) 327-3400
		Date	03/07/2002

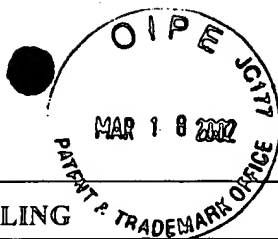
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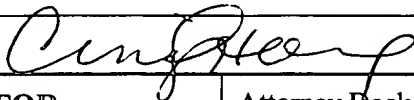
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<b>Typed or Printed Name</b>	Cindy Hoang		
<b>Signature</b>		<b>Date</b>	3/7/02
<b>REQUEST FOR CORRECTED FILING RECEIPT</b>  Address to: Commissioner for Patents Washington, D.C. 20231		<b>Attorney Docket</b>	ABGX001CON3
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		<b>Examiner Name</b>	Unassigned
		<b>Title</b>	Generation of Large Genomic DNA Deletions

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

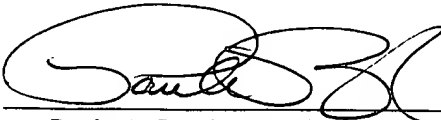
- (1) The Continuing Data as claimed by Applicant should read –This application is a CON of 09/348,747, 07/06/1999, ABN; Which is a CON of 08/808,139, 02/28/97, PAT 5,998,209; Which is a CON of 08/426,555, 04/21/1995 ABN–

A copy of the Certificate of Correction from 08/808,139, 02/28/97, PAT 5,998,209 is enclosed to support the Request to Correct Filing Receipt.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: Mar. 7, 2002

By:   
Paula A. Borden, Registration No. 42,344

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COPY OF PAPERS  
ORIGINALLY FILED

MAR 18 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/718,717	11/22/2000	1636	790	ABGX-001CON3	5	15	4

CONFIRMATION NO. 5842

## CORRECTED FILING RECEIPT



\*OC000000006328665\*

Bret E Field  
Bozicevic Field & Francis LLP  
200 Middlefield Road Suite 200  
Menlo Park, CA 94025

Date Mailed: 07/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ Aya Jakobovits, Menlo Park, CA;
- ✓ Hirohisa Tsuda, Kanagawa, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/348,747 07/06/1999 ABN  
WHICH IS A CON OF 08/808,139 04/16/1997 PAT 5,998,209 — *wrong filed*  
WHICH IS A CON OF 08/426,555 04/21/1995 ABN ✓

## Foreign Applications

*This Application is a CON of 09/348,747 07/06/1999 ABN  
which is a CON of 08/808,139 02/28/97 PAT 5,998,209,  
which is a CON of 08/426,555 04/21/1995 ABN*

If Required, Foreign Filing License Granted 03/13/2001

Projected Publication Date: N/A

Non-Publication Request: No ✓

Early Publication Request: No ✓

Title

✓ Generation of large genomic DNA deletions

Preliminary Class

*EP 8/2*  
DOCKETED

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Data entry by : STOKES, TUSHOMBE

Team : OIPE

Date: 07/23/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

PATENT NO. : 5,998,209  
DATED : December 7, 1999  
INVENTOR(S) : Ava Jokobovits and Hirohisa Tsuda

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please delete "Apr. 16, 1997" as the date the application was filed to the correct date of filing -- February 28, 1997 --.



Attest:

*Virginia Tolbert*

Attesting Officer

Signed and Sealed this  
Second Day of October, 2001

*Nicholas P. Godici*

NICHOLAS P. GODICI  
Acting Director of the United States Patent and Trademark Office



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/718,717	11/22/2000	Aya Jakobovits	ABGX-001CON3

CONFIRMATION NO. 5842



\*0000000007780806\*

Bret E Field  
Bozicevic Field & Francis LLP  
200 Middlefield Road Suite 200  
Menlo Park, CA 94025

RECEIVED

APR 11 2002

TECH CENTER 1600/2900

Date Mailed: 04/03/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).



- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☐ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☒ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

  
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